



# NISM-Series-VIII: Equity Derivatives Certification Examination



## About NISM :

National Institute of Securities Markets (NISM) is a public trust established in 2006 by the Securities and Exchange Board of India (SEBI), the regulator of the securities markets in India. The institute carries out a wide range of capacity building activities at various levels aimed at enhancing the quality standards in securities markets.

The institute's six schools of excellence work in synergy towards creating professionalized

25 SEBI-mandated and voluntary examinations on various product and functional domains of securities markets conducted across 250+ accredited test centres across the country.



## About Stock Vidhya :

At Stock Vidhya, we are dedicated to shaping the future leaders of the financial industry by providing unparalleled education and exam preparation services. With a commitment to excellence and a passion for empowering individuals, we specialize in equipping aspiring professionals with the knowledge, skills, and credentials essential for success in today's dynamic financial markets.



### **\*Our Mission: Empowering Success\***

Our mission is to empower individuals with the expertise and confidence needed to thrive in the competitive landscape of financial services. Through rigorous academic programs, personalized mentorship, and hands-on learning experiences, we aim to foster a community of skilled professionals ready to make an impact in the global economy.

## About NISM-Series-VIII Courses

NISM-Series-VIII: Equity Derivatives Certification Examination provides the knowledge competencies related to the understanding of the financial structure in India and the importance of the different rules and regulations governing the Indian securities market, especially those related to the equity derivatives segment.

This examination aims to enable a better understanding of various derivatives products available in equity derivatives markets, regulations and risks associated with the products and the exchange mechanisms of clearing and settlement.

Empirical prepare the candidates for the Examination. So there can achieve the Objective.



## Objectives:

On successful completion of the examination the candidate should:

- Know the basics of the Indian equity derivatives market.
- Understand the various trading strategies that can be built using futures and options on both stocks and stock indices.
- Understand the clearing, settlement and risk management as well as the operational mechanism related to equity derivatives markets.
- Know the regulatory environment in which the equity derivatives markets operate in India.



## Certificate Benefits:

- Job opening of Equity Dealer requires NISM series VIII certification.
- Preference given for the Relationship Manager role by companies.
- Become expert on equity derivatives.





## Course Details:

- The total fees of the course is Rs.7500 ( Certification Prep Rs.3000 +GST, Certification Exam fees Rs.1500+GST, Practical Course Rs.3000+GST )
- NISM exam registration fees is included in the above mention Course Fees.
- 10 Mock Exams (5 online mock exams & 5 mock papers shared for self-practice).
- Sessions would cover doubt solving from the Mock Exams.
- Online Interactive Session for the practice on software's required in particular module.



## Eligibility:

- All associated persons functioning as approved users and sales personnel of the trading member of an equity derivatives exchange or equity derivative segment of a recognized stock exchange.
- Interested students/professionals.
- Students (10th, 10+2, Undergraduates, Graduates, Post-graduation)
- Investors and traders
- RM, SRM, and dealers who would like to enhance their career opportunities.
- Any other individuals



## Job openings on leading job portals for Series VIII certificate candidates:

Candidates enrolled in these stock courses get JOBS in Broking Houses, Insurance Company, Mutual Fund House, Sub-brokers, Financial Institutions, NBFC, Banks, and also can start invest / manage their own money. Professionals can also use these course to enhance their knowledge / skills and guide/assist their clients to earn more profit in Stock Market.



## Guide for NISM Registration process:

[https://www.nism.ac.in/wp-content/uploads/2020/12/Registration\\_Guidelines-NISM-and-CPE.pdf](https://www.nism.ac.in/wp-content/uploads/2020/12/Registration_Guidelines-NISM-and-CPE.pdf)



### Test Details:

Name of Module: NISM-Series-VIII: Equity Derivatives Certification Examination

- Duration 120 mins
- Format Multiple Choice
- Number of Questions 100 Questions
- Validity of Certificate: 3 Years
- Examination Fee Rs 1500
- Exam Language English
- Pass Score 60% and above

\* Negative marking – 25% of the marks assigned to the question.

+ Payment gateway charges extra.

# Passing Certificate will be issued only to those candidates who have furnished/updated their Income Tax Permanent Account Number (PAN) in their registration details.

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## REGISTER NOW:

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## CONTACT NOW:

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## Course content for the Equity Derivatives Certification Examination:

<p><b>1: Basics of Derivatives</b></p> <p>1.1 Basics of Derivatives 1.2 Derivatives Market – History &amp; Evolution 1.3 Indian Derivatives Market 1.4 Market Participants 1.5 Types of Derivatives market 1.6 Significance of Derivatives 1.7 Various risk faced by the participants in derivatives</p>	<p><b>2: Understanding Index</b></p> <p>2.1 Introduction to Index 2.2 Significance of Index 2.3 Types of Indices 2.4 Attributes of an Index 2.5 Index management 2.6 Major Indices in India 2.7 Applications of Indices</p>
<p><b>3: Introduction to Forward and Futures</b></p> <p>3.1 Introduction to Forwards and Future Contracts 3.2 Payoff Charts for Futures contract 3.3 Futures pricing 3.4 Commodity, Equity &amp; Index Futures 3.5 Uses of futures</p>	<p><b>4: Introduction to Options</b></p> <p>4.1 Basics of options 4.2 Pay off Charts for Options 4.3 Basics of options pricing and option Greeks 4.4 Uses of Options</p>
<p><b>5: Option Trading Strategies</b></p> <p>5.1 Option spreads 5.2 Straddle 5.3 Strangle 5.4 Covered Call 5.5 Protective Put 5.6 Collar 5.7 Butterfly spread</p>	<p><b>6: Introduction to Trading Systems</b></p> <p>6.1 Trading System 6.2 Selection criteria of Stock for trading 6.3 Selection criteria of Index for trading 6.4 Adjustments for Corporate Actions 6.5 Position Limits 6.6 Using daily newspapers to track futures and options</p>
<p><b>7: Introduction to Clearing and Settlement System</b></p> <p>7.1 Clearing Members 7.2 Clearing Mechanism 7.3 Settlement Mechanism 7.4 Risk Management 7.5 Margining and mark to market under SPAN</p>	<p><b>8: Legal and Regulatory Environment</b></p> <p>8.1 Securities Contract (Regulation) Act, 1956 8.2 Securities and Exchange Board of India Act, 1992 8.3 Regulation in Trading 8.4 Regulation in Clearing &amp; Settlement and Risk Management 8.5 Major recommendations of Dr. L.C Gupta Committee 8.6 Major recommendations of Prof. J.R Verma Committee</p>
<p><b>9: Accounting and Taxation</b></p> <p>9.1 Accounting 9.2 Taxation of Derivative transaction in securities</p>	<p><b>10: Sales Practices and Investors Protection Services</b></p> <p>10.1 Understanding risk profile of the client 10.2 Investors Grievance Mechanism</p>

**Series VIII certificate holders works in Broking Houses, Insurance Company:**



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Veranda	Talent Partner - Recruitment - Sales Vertical (2-3 yrs)	Bangalore	07/06/2021
Product Engineer	Strategy Consulting Firm (2-10 yrs)	Multiple locations	21/06/2021
Analytics	Senior Business Manager - Data Analytics - STANISBETS (5-8 yrs)	Hyderabad	11/06/2021
Trackon	Business Development Manager - US Market (1-3 yrs)	Bangalore	11/06/2021
Bechtel	Specialist - Compensation & Benefits (10-15 yrs)	Any Location/Hyderabad...	10/06/2021
Trackon	Business Development Manager - EMEA Market (3-3 yrs)	Bangalore	08/06/2021
Analytics	Business Manager - Data Analytics - STANISBETS (5-5 yrs)	Hyderabad	18/06/2021
Trackon	Business Development Manager - APAC Market (2-3 yrs)	Bangalore	10/06/2021
Trackon	Business Development Manager - US East Market (1-3 yrs)	Bangalore	10/06/2021
STP Investment Services	Senior Manager - Fund Accounting (10-17 yrs)	Bangalore	07/06/2021
HSEC	Associate - Toxic Combination - Markets & Securities Services Business (9-12 yrs)	Bangalore	18/06/2021
Analytics	Business Analyst - Data Analytics - STANISBETS (2-1 yrs)	Hyderabad	12/06/2021
Pineley	Lead Data Analyst (6-14 yrs)	Gurgaon/Gurgaon	17/06/2021

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